



**CERTIFICATE UNDER 37 C.F.R. § 3.73(b)**

Inventor: Matthew Stephen Whalen

Serial No.: 10/616,612 Filed: July 10, 2003

For: ELECTRONIC IMAGE CAPTURE SYSTEM WITH MODULAR COMPONENTS

APPLIED COLOR SCIENCE, INC., a California corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, etc.)

certifies that it is the assignee of the entire right, title and interest in the patent identified by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: 11/16/03

Name: Matthew Stephen Whalen (APPLIED COLOR SCIENCE, INC.)

Title: PRESIDENT

Signature: Matthew Whalen



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Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

☐ Declaration Submitted with Initial Filing  
OR  
☒ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

|                        |                        |
|------------------------|------------------------|
| Attorney Docket Number | APSCI-001A             |
| First Name of Inventor | Matthew Stephen Whalen |
| COMPLETE IF KNOWN      |                        |
| Application Number     | 10 / 616,612           |
| Filing Date            | 07/10/2003             |
| Art Unit               | 2613                   |
| Examiner Name          | UNKNOWN                |

As the below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**AN ELECTRONIC IMAGE CAPTURE SYSTEM WITH MODULAR COMPONENTS**

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY)

07/10/2003

as United States Application Number or PCT International

Application Number

10/616,612

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application Number(s) | Country | Foreign Filing Date (MM/DD/YYYY) | Priority Not Claimed     | Certified Copy Attached? |                          |
|-------------------------------------|---------|----------------------------------|--------------------------|--------------------------|--------------------------|
|                                     |         |                                  |                          | YES                      | NO                       |
|                                     |         |                                  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
|                                     |         |                                  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
|                                     |         |                                  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
|                                     |         |                                  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

[Page 1 of 2]

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**DECLARATION — Utility or Design Patent Application**

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| NAME OF SOLE OR FIRST INVENTOR :  |  |                                      |  | <input type="checkbox"/> A petition has been filed for this unsigned inventor |  |  |  |
| Given Name<br>(first and middle [if any]) <b>Matthew Stephen</b>  |  |                                      |  | Family Name<br>or Surname <b>WHALEN</b>                                       |  |  |  |
| Inventor's<br>Signature <i>Matthew Whalen</i>   |  |                                      |  |   |  | Date <i>12/4/03</i>                                      |  |
| Residence: City <b>Laguna Nigel</b>   |  |                                      |  | State <b>CA</b>   |  | Country <b>US</b>  |  |
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| NAME OF SECOND INVENTOR:  |  |                                      |  | <input type="checkbox"/> A petition has been filed for this unsigned inventor |  |  |  |
| Given Name<br>(first and middle [if any])   |  |                                      |  | Family Name<br>or Surname   |  |  |  |
| Inventor's<br>Signature   |  |                                      |  |   |  | Date   |  |
| Residence: City   |  |                                      |  | State   |  | Country  |  |
| Citizenship   |  |                                      |  |   |  |  |  |
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| Country   |  |                                      |  |   |  |  |  |
| <input type="checkbox"/> Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.   |  |                                      |  |   |  |  |  |



Please type a plus sign (+) inside this box → ☐

PTO/SB/81 (02-01)

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## POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

|                        |                        |
|------------------------|------------------------|
| Application Number     | Unknown                |
| Filing Date            | Herewith               |
| First Named Inventor   | Matthew Stephen Whalen |
| Title                  | ELECTRONIC IMAGE ....  |
| Group Art Unit         | Unknown                |
| Examiner Name          | Unknown                |
| Attorney Docket Number | APSCI-001A             |

I hereby appoint:

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I am the:

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Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

### SIGNATURE of Applicant or Assignee of Record

Name

Matthew Stephen Whalen

President

Signature

Date

7/11/03

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ \*Total of \_\_\_\_\_ forms are submitted.

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